



## **FACOR ALLOYS LIMITED**

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HSC/198/Q/49

January 04, 2014

The Secretary,  
The Bombay Stock Exchange Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
MUMBAI - 400 001

FAX NO. 022-22722037/ 39/ 41/ 61  
22723121/3719

Dear Sir,

Attn. Ms Neha Gada, Manager

Re: Shareholding Pattern for the quarter ended 31-12-2013 under amended Clause 35 of the Listing Agreement

Ref. SEBI Circular No.CFD/DCR/SAST/1/2011/09/23 dated 23/09/2011

With reference to the above cited SEBI Circular, we are enclosing herewith Shareholding Pattern in the revised format as per amended Clause 35 of the Listing Agreement along with list of entities/persons holding more than 1%/5% of shares of the company under public category for the quarter ended 31st December, 2013.

We have also e-mailed a copy of the same to your e-mail ID: [corp.relations@bseindia.com](mailto:corp.relations@bseindia.com).

Thanking you,

Yours faithfully,  
For FACOR ALLOYS LIMITED,



SECRETARY,

Encl: As above.

## Introductory sub-table (I)(a)

Name of the Company : <b>FACOR ALLOYS LIMITED</b>			
Scrip Code, Name of the scrip, class of security: <b>532656, Equity Shares</b>			
Quarter ended: <b>31st December, 2013</b>			
<b>Partly paid-up shares:-</b>	<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by promoter/promoter group	0	#DIV/0!	0
Held by public	0	#DIV/0!	0
<b>Total - D</b>	<b>0</b>	<b>#DIV/0!</b>	<b>0</b>
<b>Outstanding convertible securities:-</b>	<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/promoter group	0	#DIV/0!	0
Held by public	0	#DIV/0!	0
<b>Total - E</b>	<b>0</b>	<b>#DIV/0!</b>	<b>0</b>
<b>Warrants:-</b>	<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/promoter group	0	#DIV/0!	0
Held by public	0	#DIV/0!	0
<b>Total - F</b>	<b>0</b>	<b>#DIV/0!</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (Grand Total (A+B+C)+ D+E+F)</b>		<b>195547355</b>	<b>100</b>



## ( I ) (a) STATEMENT SHOWING SHAREHOLDING PATTERN

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B)1 (VI)	As a percentage of (A+B+C) (VII)	Number of Shares (VIII)	As a percentage (IX)=(VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group 2							
[1]	Indian							
(a)	Individuals/ Hindu Undivided Family	44	23669186	23669186	12.10	12.10	0	0.00
(b)	Central Government State Government (s)							
(c)	Bodies Corporate	6	62620240	62620240	32.02	32.02	-	-
(d)	Fin.Institutions/ Banks							
(e)	Any Other- (specify)							
	<b>Sub-Total (A) (1)</b>	<b>50</b>	<b>86289426</b>	<b>86289426</b>	<b>44.13</b>	<b>44.13</b>	<b>0</b>	<b>0.00</b>
[2]	Foreign							
(a)	Individuals (Non- Resident Individuals/ Foreign Individuals)*	1	239387	239387	0.12	0.12	-	-
(b)	Bodies Corporate	1	4492654	4492654	2.30	2.30	-	-
(c)	Institutions							
(d)	Any Other (specify)							
	<b>Sub-Total (A) (2)</b>	<b>2</b>	<b>4732041</b>	<b>4732041</b>	<b>2.42</b>	<b>2.42</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1) +(A)(2)</b>	<b>52</b>	<b>91021467</b>	<b>91021467</b>	<b>46.55</b>	<b>46.55</b>	<b>0</b>	<b>0.00</b>
(B)	Public Shareholding 3							
[1]	Institutions							
(a)	Mutual Funds/ UTI	3	5739	5739	0.00	0.00	0	0
(b)	Financial Institutions/ Banks	25	42922	35656	0.02	0.02	0	0
(c)	Central Government/ State Government (s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies	3	8220	1620	0.00	0.00	0	0
(f)	Foreign Institutional Investors	1	120	0	0.00	0.00	0	0
(g)	Foreign Venture Capital Investors							
(h)	Any Other (specify)							
(h-i)	Foreign Mutual Funds					0.00	0	0
	<b>Sub-Total (B)(1)</b>	<b>32</b>	<b>57001</b>	<b>43015</b>	<b>0.03</b>	<b>0.03</b>	<b>0</b>	<b>0</b>



[2]	Non-Institutions							
(a)	Bodies Corporate	630	14849829	14840154	7.59	7.59	1200	0.00
(b)	Individuals-							
	i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	57072	74708375	74039910	38.20	38.20	13040	0.01
	ii. Individuals shareholders holding nominal share capital in excess of Rs. 1 lakh.	64	12297129	12297129	6.29	6.29	0	0
(c)	Any Other Foreign Company							
(c-i)	NRI	501	2613554	2569260	1.34	1.34	0	0
	<b>Sub-Total (B)(2)</b>	<b>58267</b>	<b>104468887</b>	<b>103746453</b>	<b>53.42</b>	<b>53.42</b>	<b>14240</b>	<b>0.01</b>
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	<b>58299</b>	<b>104525888</b>	<b>103789468</b>	<b>53.45</b>	<b>53.45</b>	<b>14240</b>	<b>0.01</b>
	<b>TOTAL (A) +(B)</b>	<b>58351</b>	<b>195547355</b>	<b>194810935</b>	<b>100.00</b>	<b>100.00</b>	<b>14240</b>	<b>0.01</b>
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
(1)	Promoter and Promoter Group				N.A.			
(2)	Public				N.A.			
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>58351</b>	<b>195547355</b>	<b>194810935</b>	<b>N.A.</b>	<b>100.00</b>	<b>14240</b>	<b>0.01</b>

\* Indian promoter shown in Foreign category due to change in status from Resident to Non-Resident Indian



**FACOR ALLOYS LIMITED**  
 STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY "PROMOTER AND PROMOTER GROUP"  
 AS ON 31ST DECEMBER, 2013

Sr.No.	Client ID/Folio No.	Name of the shareholder	Details of shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
			Number of shares held	As a % of grand total (A)+(B)+(C)	Number	As a percentage (VI)=(V)/(III)* 100	As a % of grand total (A)+(B)+(C) of sub-clause (I) (a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
<b>(I)(a) Indian Individuals/Hindu Undivided Family</b>											
1	IN30133019114713	Urmiladevi Narayandas Saraf	3629215	1.86	0	0.00	0	#DIV/0!	0	#DIV/0!	1.86
2	IN30133019114578	Promiladevi Ramkisan Saraf	2556967	1.31	0	0.00	0	#DIV/0!	0	#DIV/0!	1.31
3	IN30133019114762	Anurag Murliidhar Saraf	2507354	1.28	0	0.00	0	#DIV/0!	0	#DIV/0!	1.28
4	IN30133019114658	Manjudevi Murliidhar Saraf	2190631	1.12	0	0.00	0	#DIV/0!	0	#DIV/0!	1.12
5	IN30133019114318	Mohindevi Umashankar Saraf	2098174	1.07	0	0.00	0	#DIV/0!	0	#DIV/0!	1.07
6	IN30133019114560	Sushmadevi Vinodkumar Saraf	1706582	0.87	0	0.00	0	#DIV/0!	0	#DIV/0!	0.87
7	IN30133019114172	Ramadevi Manojkumar Saraf	1246361	0.64	0	0.00	0	#DIV/0!	0	#DIV/0!	0.64
8	IN30133019113858	Bimiladevi Vithaldas Saraf	1217651	0.62	0	0.00	0	#DIV/0!	0	#DIV/0!	0.62
9	IN30133019114616	Vandadevi Vineelkumar Saraf	876536	0.45	0	0.00	0	#DIV/0!	0	#DIV/0!	0.45
10	IN3013301929582	Rohitkumar Narayandasj Saraf	72869	0.37	0	0.00	0	#DIV/0!	0	#DIV/0!	0.37
11	IN301127649528	Vinodkumar Saraf	71269	0.37	0	0.00	0	#DIV/0!	0	#DIV/0!	0.37
12	IN30133019114276	Murliidhar Durgaprasadj Saraf	661343	0.34	0	0.00	0	#DIV/0!	0	#DIV/0!	0.34
13	IN30133019114276	Vijaykumar Vithaldas Saraf	598305	0.30	0	0.00	0	#DIV/0!	0	#DIV/0!	0.30
14	IN30133019113219	Shalijeevi Ashokkumar Saraf	498307	0.25	0	0.00	0	#DIV/0!	0	#DIV/0!	0.25
15	IN30133019114262	Madhavan Yogeshkumar Saraf	489207	0.25	0	0.00	0	#DIV/0!	0	#DIV/0!	0.25
16	IN30133019113305	Madhavan Yogeshkumar Saraf	311441	0.16	0	0.00	0	#DIV/0!	0	#DIV/0!	0.16
17	IN30133019114609	Ashishkumar Ramkisan Saraf	246679	0.13	0	0.00	0	#DIV/0!	0	#DIV/0!	0.13
18	IN30133019114674	Sonal Ashimkumar Saraf	237680	0.12	0	0.00	0	#DIV/0!	0	#DIV/0!	0.12
19	IN30133019112731	Gaulam Vinodkumar Saraf	164098	0.08	0	0.00	0	#DIV/0!	0	#DIV/0!	0.08
20	IN30133019113201	Raghunath Yogeshkumar Saraf	147185	0.08	0	0.00	0	#DIV/0!	0	#DIV/0!	0.08
21	IN30133019112677	Vineelkumar Vithaldas Saraf	120624	0.06	0	0.00	0	#DIV/0!	0	#DIV/0!	0.06
22	IN30133019114273	Manojkumar Umashankar Saraf	103915	0.05	0	0.00	0	#DIV/0!	0	#DIV/0!	0.05
23	IN30133019112642	Santidevi Sanjivkumar Saraf	93373	0.05	0	0.00	0	#DIV/0!	0	#DIV/0!	0.05
24	IN30133019114691	Gauri Sanjeev Saraf	74797	0.04	0	0.00	0	#DIV/0!	0	#DIV/0!	0.04
25	IN30133019114797	Payal Murliidhar Saraf	64451	0.03	0	0.00	0	#DIV/0!	0	#DIV/0!	0.03
26	IN30133019114824	Vibhav Vineelkumar Saraf	27576	0.01	0	0.00	0	#DIV/0!	0	#DIV/0!	0.01
27	IN30102220160672	Ramkisan Durgaprasad Saraf	22424	0.01	0	0.00	0	#DIV/0!	0	#DIV/0!	0.01
28	IN30125029059885	Manoj Kumar Saraf	17008	0.01	0	0.00	0	#DIV/0!	0	#DIV/0!	0.01
29	IN30133019114690	Ashim Saraf	14600	0.01	0	0.00	0	#DIV/0!	0	#DIV/0!	0.01
30	IN30133019113871	Yogeshkumar Umashankar Saraf	12600	0.01	0	0.00	0	#DIV/0!	0	#DIV/0!	0.01
31	IN30133019113571	Preetidevi Rohitkumar Saraf	12600	0.01	0	0.00	0	#DIV/0!	0	#DIV/0!	0.01
32	IN30133019113530	Asha Ashimkumar Saraf	12600	0.01	0	0.00	0	#DIV/0!	0	#DIV/0!	0.01
33	IN30133019114620	Rohitkumar Saraf	12600	0.01	0	0.00	0	#DIV/0!	0	#DIV/0!	0.01
34	IN30133019114620	Shamini Manojkumar Saraf	12600	0.01	0	0.00	0	#DIV/0!	0	#DIV/0!	0.01
35	IN30133019114620	Gauri Vinodkumar Saraf	12600	0.01	0	0.00	0	#DIV/0!	0	#DIV/0!	0.01
36	IN30133019114620	Sakhi Sanjeev Saraf	7248	0.00	0	0.00	0	#DIV/0!	0	#DIV/0!	0.00
37	IN30133019113720	Gauri Sanjeev Saraf	5128	0.00	0	0.00	0	#DIV/0!	0	#DIV/0!	0.00
38	IN30133019114738	Raghuendra Manojkumar Saraf	4800	0.00	0	0.00	0	#DIV/0!	0	#DIV/0!	0.00
39	IN30133019114693	Yogeshkumar Manojkumar Saraf	4800	0.00	0	0.00	0	#DIV/0!	0	#DIV/0!	0.00
40	IN30133019113609	Vinodkumar Vithaldas Saraf	4100	0.00	0	0.00	0	#DIV/0!	0	#DIV/0!	0.00
41	IN30133019112350	Sunandadevi Yogeshkumar Saraf	3560	0.00	0	0.00	0	#DIV/0!	0	#DIV/0!	0.00
42	IN30133019114553	Ramkisan Saraf	3267	0.00	0	0.00	0	#DIV/0!	0	#DIV/0!	0.00
43	IN30133019114705	Narayandas Durgaprasadj Saraf	17004	0.00	0	0.00	0	#DIV/0!	0	#DIV/0!	0.00
44	IN30133019114746	Narayandas Durgaprasad Saraf	1472	0.00	0	0.00	0	#DIV/0!	0	#DIV/0!	0.00
		<b>TOTAL - (I)(b)</b>	<b>23669185</b>	<b>12.10</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>#DIV/0!</b>	<b>0</b>	<b>#DIV/0!</b>	<b>12.10</b>



1.(c) Bodies Corporate												
1	IN300881498887	R B Shreeam & Co. Pvt. Ltd.	61055662	31.22	0	0.00	0.00	0	0	0	0	31.22
2	IN30133019114875	Saral Bandhu Pvt. Ltd.	826200	0.42	0	0.00	0.00	0	0	0	0	0.42
3	IN30074910128715	Ferro Alloys Corporation Ltd.	500000	0.26	0	0.00	0.00	0	0	0	0	0.26
4	IN30133020535621	GDP Infrastructure Pvt. Ltd.	214440	0.11	0	0.00	0.00	0	0	0	0	0.11
5	IN30133020920208	Vidarbha Iron & Steel Co. Ltd.	18144	0.01	0	0.00	0.00	0	0	0	0	0.01
6	IN30105510743360	Suchitra Investments & Leasing Ltd.	5774	0.00	0	0.00	0.00	0	0	0	0	0.00
TOTAL - 1(C)			62620240	32.02	0	0.00	0.00	0	0	0	0	32.02
2.(a) Foreign Individuals												
1	IN30133021126204	Sanjiv Narayandas Saraf	236387	0.12	0	0.00	0.00	0	0	0	0	0.12
TOTAL - 2(a)			236387	0.12	0	0.00	0.00	0	0	0	0	0.12
2.(b) Foreign Bodies Corporate												
1	IN30133019402140	GlobalScale Investments Limited	4492654	2.30	0	0.00	0.00	0	0	0	0	2.30
TOTAL - 2(B)			4492654	2.30	0	0.00	0.00	0	0	0	0	2.30
TOTAL SHAREHOLDING PROMOTERS & PROMOTER GROUP 1(a)+1(c)+2(a)+2(b)			91021487	46.55	0	0.00	0.00	0	0	0	0	46.55

\* The term "encumbrance" has the same meaning as assigned to it in regulation 28 (3) of the SAST Regulations, 2011.



**FACOR ALLOYS LIMITED**

(i) (i) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY "PUBLIC" AND HOLDING MORE THAN 1% OF THE TOTAL NUMBER OF SHARES AS ON 31ST DECEMBER, 2013

Sr.No.	Name of the shareholder	Number of shares held	Shares as a % of total number of shares (i.e. Grand Total (A)+(B)+C indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	AROMA PLANTATION PVT LTD.	5573614	2.85					
2								
3								
4								
5								
	Total	5573614	2.85					

**FACOR ALLOYS LIMITED**

(i) (ii) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS (TOGETHER WITH PAC) BELONGING TO THE CATEGORY "PUBLIC" AND HOLDING MORE THAN 5% OF THE TOTAL NUMBER OF SHARES OF THE COMPANY AS ON 31ST DECEMBER, 2013

Sr.No.	Name(s) of the shareholder(s) and the Person Acting in Concert (PAC) with them	Number of shares	Shares as a % of total number of shares (i.e. Grand Total (A)+(B)+C indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1			NA					
2								
3								
4								
5								
	Total							

(i) (d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a (%) percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Promoter / Promoter Group / Public
			NA	
	TOTAL			

(ii) (a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I) (a) above)
				NA
	TOTAL			

