



## FACOR ALLOYS LIMITED

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HSC/198/Q/5048

Oct 03, 2013

The Secretary,  
The Bombay Stock Exchange Ltd.  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
MUMBAI - 400 001

FAX NO. 022-22722037/ 39/ 41/ 61  
22723121/3719

Dear Sir,

Attn. Ms Neha Gada, Manager

Re: Shareholding Pattern for the quarter ended 30-09-2013 under amended Clause  
35 of the Listing Agreement

Ref. SEBI Circular No.CFD/DCR/SAST/1/2011/09/23 dated 23/09/2011

With reference to the above cited SEBI Circular, we are enclosing herewith Shareholding  
Pattern in the revised format as per amended Clause 35 of the Listing Agreement along  
with list of entities/persons holding more than 1%/5% of shares of the company under  
public category for the quarter ended 30th September, 2013.

We have also e-mailed a copy of the same to your e-mail ID: [corp.relations@bseindia.com](mailto:corp.relations@bseindia.com).

Thanking you,

Yours faithfully,  
For FACOR ALLOYS LIMITED,



SECRETARY

Encl: As above.

Introductory sub-table (I)(a)

Name of the Company : FACOR ALLOYS LIMITED				
Scrip Code, Name of the scrip, class of security: 532656, Equity Shares				
Quarter ended: 30th September, 2013				
<b>Partly paid-up shares:-</b>		<b>No. of partly paid-up shares</b>	<b>As a % of total no. of partly paid-up shares</b>	<b>As a % of total no. of shares of the company</b>
Held by promoter/promoter group		0	#DIV/0!	0
Held by public		0	#DIV/0!	0
<b>Total - D</b>		<b>0</b>	<b>#DIV/0!</b>	<b>0</b>
<b>Outstanding convertible securities: No. of outstanding securities</b>		<b>No. of outstanding securities</b>	<b>As a % of total no. of outstanding convertible securities</b>	<b>As a % of total no. of shares of the company, assuming full conversion of the convertible securities</b>
Held by promoter/promoter group		0	#DIV/0!	0
Held by public		0	#DIV/0!	0
<b>Total - E</b>		<b>0</b>	<b>#DIV/0!</b>	<b>0</b>
<b>Warrants:-</b>		<b>No. of warrants</b>	<b>As a % of total no. of warrants</b>	<b>As a % of total no. of shares of the company, assuming full conversion of warrants</b>
Held by promoter/promoter group		0	#DIV/0!	0
Held by public		0	#DIV/0!	0
<b>Total - F</b>		<b>0</b>	<b>#DIV/0!</b>	<b>0</b>
<b>Total paid-up capital of the company, assuming full conversion of warrants and convertible securities (Grand Total (A+B+C)+ D+E+F)</b>		<b>195547355</b>		<b>100</b>



## (1) (a) STATEMENT SHOWING SHAREHOLDING PATTERN

Category Code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) <sup>1</sup> (VI)	As a percentage of (A+B+C) (VII)	Number of Shares (VIII)	As a percentage (IX)=(VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group 2</b>							
<b>[1]</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	44	23669186	23669186	12.10	12.10	0	0.00
(b)	Central Government State Government (s)							
(c)	Bodies Corporate	6	62620240	62620240	32.02	32.02	-	-
(d)	Fin. Institutions/ Banks							
(e)	Any Other- (specify)							
	<b>Sub-Total (A) (1)</b>	<b>50</b>	<b>86289426</b>	<b>86289426</b>	<b>44.13</b>	<b>44.13</b>	<b>0</b>	<b>0.00</b>
<b>[2]</b>	<b>Foreign</b>							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)*	1	239387	239387	0.12	0.12	-	-
(b)	Bodies Corporate	1	4492654	4492654	2.30	2.30	-	-
(c)	Institutions							
(d)	Any Other (specify)							
	<b>Sub-Total (A) (2)</b>	<b>2</b>	<b>4732041</b>	<b>4732041</b>	<b>2.42</b>	<b>2.42</b>	<b>0</b>	<b>0.00</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1) +(A)(2)</b>	<b>52</b>	<b>91021467</b>	<b>91021467</b>	<b>46.55</b>	<b>46.55</b>	<b>0</b>	<b>0.00</b>
<b>(B)</b>	<b>Public Shareholding 3</b>							
<b>[1]</b>	<b>Institutions</b>							
(a)	Mutual Funds/ UTI	3	5739	5739	0.00	0.00	0	0
(b)	Financial Institutions/ Banks	25	42922	35656	0.02	0.02	0	0
(c)	Central Government/ State Government (s)							
(d)	Venture Capital Funds							
(e)	Insurance Companies	3	8220	1620	0.00	0.00	0	0
(f)	Foreign Institutional Investors	1	120	0	0.00	0.00	0	0
(g)	Foreign Venture Capital Investors							
(h)	Any Other (specify)							
(h-i)	Foreign Mutual Funds					0.00	0	0
	<b>Sub-Total (B)(1)</b>	<b>32</b>	<b>57001</b>	<b>43015</b>	<b>0.03</b>	<b>0.03</b>	<b>0</b>	<b>0</b>



<b>[2]</b>	<b>Non-Institutions</b>							
(a)	Bodies Corporate	641	14890784	14881109	7.61	7.61	1200	0.00
(b)	Individuals-							
i.	Individual shareholders holding nominal share capital up to Rs. 1 lakh.	57578	75180549	74511492	38.45	38.45	13040	0.01
ii.	Individuals shareholders holding nominal share capital in excess of Rs. 1 lakh.	62	11703994	11703994	5.99	5.99	0	0
(c)	Any Other Foreign Company							
(c-i)	NRI	503	2693560	2649266	1.38	1.38	0	0
	<b>Sub-Total (B)(2)</b>	<b>58784</b>	<b>104468887</b>	<b>103745861</b>	<b>53.42</b>	<b>53.42</b>	<b>14240</b>	<b>0.01</b>
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	<b>58816</b>	<b>104525888</b>	<b>103788876</b>	<b>53.45</b>	<b>53.45</b>	<b>14240</b>	<b>0.01</b>
	<b>TOTAL (A) +(B)</b>	<b>58868</b>	<b>195547355</b>	<b>194810343</b>	<b>100.00</b>	<b>100.00</b>	<b>14240</b>	<b>0.01</b>
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
(1)	Promoter and Promoter Group				N.A.			
(2)	Public				N.A.			
	<b>GRAND TOTAL (A)+(B)+(C)</b>	<b>58868</b>	<b>195547355</b>	<b>194810343</b>	<b>N.A.</b>	<b>100.00</b>	<b>14240</b>	<b>0.01</b>

\* Indian promoter shown in Foreign category due to change in status from Resident to Non-Resident Indian



**FACOR ALLOYS LIMITED**  
**AS ON 30TH SEPTEMBER, 2013**

(b)

Sr.No.	Client ID/Folio No.	Name of the shareholder	Details of shares held		Encumbered shares (*)		Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
			Number of shares held	As a % of grand total (A)+(B)+(C)	Number	As a percentage (V)/(VI)* 100	As a % of grand total (A)+(B)+(C) of sub-clause (I) (a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	IN30133019114713	Urnaladevi Narayandas Saraf	36292715	1.86	0	0.00	0	0	0	0	1.86
2	IN30133019114578	Promaladevi Ramkisan Saraf	2556967	1.31	0	0.00	0	0	0	0	1.31
3	IN30133019114762	Anurag Murlidhar Saraf	2507354	1.28	0	0.00	0	0	0	0	1.28
4	IN30133019114658	Manojdevi Murlidhar Saraf	2190631	1.12	0	0.00	0	0	0	0	1.12
5	IN30133019114318	Mohinidevi Umashankar Saraf	2098174	1.07	0	0.00	0	0	0	0	1.07
6	IN30133019114590	Sushmadevi Vinodkumar Saraf	1708562	0.87	0	0.00	0	0	0	0	0.87
7	IN30133019114172	Ramadevi Manojkumar Saraf	1248361	0.64	0	0.00	0	0	0	0	0.64
8	IN30133019113858	Bhimaladevi Vinhaldas Saraf	1217851	0.62	0	0.00	0	0	0	0	0.62
9	IN30133019114816	Vaniadevi Viteekumar Saraf	876536	0.45	0	0.00	0	0	0	0	0.45
10	IN30133019256926	Rohilkumar Narayendaji Saraf	872869	0.45	0	0.00	0	0	0	0	0.45
11	IN30133019114816	Vinodkumar Saraf	731814	0.37	0	0.00	0	0	0	0	0.37
12	IN30133019114586	Muridhar Durgaprasadi Saraf	661343	0.34	0	0.00	0	0	0	0	0.34
13	IN30133019112706	Viteekumar Vinhaldas Saraf	586395	0.30	0	0.00	0	0	0	0	0.30
14	IN30133019113219	Shajiladevi Ashishkumar Saraf	586395	0.30	0	0.00	0	0	0	0	0.30
15	IN30133019113805	Manojkumar Vinodkumar Saraf	489596	0.25	0	0.00	0	0	0	0	0.25
16	IN30133019113905	Manojkumar Vinodkumar Saraf	311444	0.16	0	0.00	0	0	0	0	0.16
17	IN30133019114609	Ashishkumar Ramkisan Saraf	246679	0.13	0	0.00	0	0	0	0	0.13
18	IN30133019114674	Sonal Ashishkumar Saraf	237660	0.12	0	0.00	0	0	0	0	0.12
19	IN30133019112731	Gautam Vinodkumar Saraf	164069	0.08	0	0.00	0	0	0	0	0.08
20	IN30133019113201	Raghunath Yogeshkumar Saraf	147185	0.08	0	0.00	0	0	0	0	0.08
21	IN30133019112677	Viteekumar Vinhaldas Saraf	120624	0.06	0	0.00	0	0	0	0	0.06
22	IN30133019114279	Manojkumar Umashankar Saraf	103915	0.05	0	0.00	0	0	0	0	0.05
23	IN30133019112642	Santadevi Sanjivkumar Saraf	93373	0.05	0	0.00	0	0	0	0	0.05
24	IN30133019114681	Gauri Sanjeev Saraf	74797	0.04	0	0.00	0	0	0	0	0.04
25	IN30133019114797	Payal Murlidhar Saraf	72651	0.04	0	0.00	0	0	0	0	0.04
26	IN30133019114824	Vibhav Viteekumar Saraf	64451	0.03	0	0.00	0	0	0	0	0.03
27	IN30102220160672	Ramkisan Durgaprasad Saraf	27576	0.01	0	0.00	0	0	0	0	0.01
28	IN30125029059895	Manoj Kumar Saraf	22424	0.01	0	0.00	0	0	0	0	0.01
29	IN30133019114640	Ashim Saraf	17008	0.01	0	0.00	0	0	0	0	0.01
30	IN30133019113971	Yogeshkumar Umashankar Saraf	14800	0.01	0	0.00	0	0	0	0	0.01
31	IN30133019305060	Preetidevi Rohilkumar Saraf	12600	0.01	0	0.00	0	0	0	0	0.01
32	IN30133019113250	Alia Ashishkumar Saraf	11500	0.01	0	0.00	0	0	0	0	0.01
33	IN30133019114682	Madhuri Manojkumar Saraf	7946	0.00	0	0.00	0	0	0	0	0.00
34	IN30133019114529	Shrinath Viteekumar Saraf	7456	0.00	0	0.00	0	0	0	0	0.00
35	IN30133019114705	Saurav Vinodkumar Saraf	5126	0.00	0	0.00	0	0	0	0	0.00
36	IN30133019114738	Saurav Sanjeev Saraf	4800	0.00	0	0.00	0	0	0	0	0.00
37	IN301330191276309	Raghavendra Manojkumar Saraf	4800	0.00	0	0.00	0	0	0	0	0.00
38	IN30133019114043	Yogeshkumar Umashankar Saraf	4100	0.00	0	0.00	0	0	0	0	0.00
39	IN30133019113809	Vinodkumar Vinhaldas Saraf	3560	0.00	0	0.00	0	0	0	0	0.00
40	IN30133019112350	Sunandadevi Yogeshkumar Saraf	3267	0.00	0	0.00	0	0	0	0	0.00
41	IN30133019114553	Ramkisan Saraf	2256	0.00	0	0.00	0	0	0	0	0.00
42	IN30133019114705	Narayandas Durgaprasad Saraf	1704	0.00	0	0.00	0	0	0	0	0.00
43	IN30133019114746	Narayandas Durgaprasad Saraf	1472	0.00	0	0.00	0	0	0	0	0.00
44		<b>TOTAL - (Ie)</b>	<b>23659186</b>	<b>12.10</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>12.10</b>



1.(c) Bodies Corporate										
1	IN300881494887	R B Shreeram & Co. Pvt. Ltd	61055882	31.22	0	0.00	0.00	0	#DIV/0!	31.22
2	IN30133019114875	Saraf Bandhu Pvt. Ltd	826200	0.42	0	0.00	0.00	0	#DIV/0!	0.42
3	IN30074910128715	Ferro Alloys Corporation Ltd	500000	0.26	0	0.00	0.00	0	#DIV/0!	0.26
4	IN30133020358821	GDP Infrastructure Pvt. Ltd.	214440	0.11	0	0.00	0.00	0	#DIV/0!	0.11
5	IN30133020920208	Vidarbha Iron & Steel Co. Ltd	18144	0.01	0	0.00	0.00	0	#DIV/0!	0.01
6	IN30105510743360	Suchitra Investments & Leasing Ltd.	5774	0.00	0	0.00	0.00	0	#DIV/0!	0.00
TOTAL - 1(c)			62620240	32.02	0	0.00	0.00	0	#DIV/0!	32.02
2.(a) Foreign Individuals										
1	IN30133021126204	Sanjiv Narayandas Saraf	239387	0.12	0	0.00	0.00	0	#DIV/0!	0.12
TOTAL - 2(a)			239387	0.12	0	0.00	0.00	0	#DIV/0!	0.12
2.(b) Foreign Bodies Corporate										
1	IN30133019402740	Globalscale Investments Limited	4492854	2.30	0	0.00	0.00	0	#DIV/0!	2.30
TOTAL - 2(b)			4492854	2.30	0	0.00	0.00	0	#DIV/0!	2.30
TOTAL SHAREHOLDING PROMOTERS & PROMOTER GROUP 1(a)+1(c)+2(a)+2(b)			91021467	46.55	0	0.00	0.00	0	#DIV/0!	46.55

\* The term "encumbrance" has the same meaning as assigned to it in regulation 28 (3) of the SAST Regulations, 2011.



**FACOR ALLOYS LIMITED**

(I) (i) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY "PUBLIC" AND HOLDING MORE THAN 1% OF THE TOTAL NUMBER OF SHARES AS ON 30TH SEPTEMBER, 2013

Sr.No.	Name of the shareholder	Number of shares held	Shares as a % of total number of shares (i.e. Grand Total (A)+(B)+C indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1	AROMA PLANTATION PVT LTD.	5573614	2.85					
2								
3								
4								
5								
	Total	5573614	2.85					

**FACOR ALLOYS LIMITED**

(I) (ii) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS (TOGETHER WITH PAC) BELONGING TO THE CATEGORY "PUBLIC" AND HOLDING MORE THAN 5% OF THE TOTAL NUMBER OF SHARES OF THE COMPANY AS ON 30TH SEPTEMBER, 2013

Sr.No.	Name(s) of the shareholder(s) and the Person Acting in Concert (PAC) with them	Number of shares	Shares as a % of total number of shares (i.e. Grand Total (A)+(B)+C indicated in Statement at para (I)(a) above)	Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % of total number of warrants of the same class	Number of convertible securities held	% w.r.t. total number of convertible securities of the same class	
1			NA					
2								
3								
4								
5								
	Total							

(I) (d) Statement showing details of locked-in shares.

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a (%) percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Promoter / Promoter Group / Public
			NA	
	TOTAL			

(II) (a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e. Grand Total (A)+(B)+(C) indicated in Statement at para (I) (a) above)
				NA
	TOTAL			

